

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

Application Number	10/697,027-Conf. #1099
Filing Date	October 31, 2003
First Named Inventor	Kazuo OKADA
Examiner Name	R. Hsu
Art Unit	3714
Attorney Docket No.	SHO-0043

TOTAL AMOUNT OF PAYMENT	(\$)	180.00
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☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 18-0013 Deposit Account Name: Rader, Fishman & Grauer PLLC

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

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1. BASIC FILING, SEARCH, AND EXAMINATION FEES

	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	Small Entity	Small Entity	Small Entity	Small Entity	Small Entity	Small Entity	
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

<u>Fee Description</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

[illegible]


HP = highest number of total claims paid for; if greater than 20

HP = highest number of independent claims paid for, if greater than 3.

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/50	(round up to a whole number) x	=	

Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement	180.00

Signature		Registration No. (Attorney/Agent)	29,211	Telephone	(202) 955-3750
Name (Print/Type)	Carl Schaukowitch			Date	March 16, 2007



(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Kazuo OKADA

Group Art Unit: 3714

Application No.: 10/697,027

Examiner: R. Hsu

Filed: October 31, 2003

Confirmation No.: 1099

For: GAMING MACHINE

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner of Patents
P. O. Box 1450
Alexandria, VA 22313-1450

03/19/2007 MAHRED1 00000071 100013 10697027
01 FC:1006 100.00 DA

Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
- ☒ excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which

SHO-0043
(80288-0043)

Application No.: 10/697,027

is determined to be a *prima facie* prior art reference against the claims of the present application.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311 or an action that otherwise closes prosecution in the application, but before payment of the Issue Fee (37 C.F.R. §1.97(d)). Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. The Patent Office is authorized to charge \$180.00 under 37 CFR §§ 1.97(d)(2) and 1.17(p) in view of the statement below.
- ☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a related U. S. application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☒ 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013, as needed, to ensure consideration of the disclosed information.

Respectfully submitted,

Date: March 16, 2007

By:


Carl Schaukowitz
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.H./

PTO/SB/06A/B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

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Filing Date	October 31, 2003
First Named Inventor	Kazuo OKADA
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Examiner Name	R. Hsu
Attorney Docket Number	SHO-0043

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-4,518,225	05-21-1985	L. R. FREDRICKSON et al.	
	AB*	US-4,568,928	02-04-1986	M. A. BIFERNO	
	AC*	US-4,826,296	05-02-1989	Y. YOSHIMURA	
	AD*	US-5,146,354	09-08-1992	B. PLESINGER	
	AE*	US-5,546,296	08-13-1996	D. SAVIGNAC et al.	
	AF*	US-6,141,067	10-31-2000	M. IKKA	
	AG*	US-6,339,418-B1	01-15-2002	M. KITAGAWA	
	AH*	US-6,377,339-B1	04-23-2002	L. A. WESTERMAN et al.	
	AI*	US-6,642,975-B2	11-04-2003	N. CHINO et al.	
	AJ*	US-2003,0214471-A1	11-20-2003	R. TOPELBERG	
	AK*	US-2004/0029636-A1	02-12-2004	W. WELLS	
	AL*	US-6,720,021-B2	04-13-2004	V. Y. L. WONG et al.	
	AM*	US-6,853,410-B2	02-06-2005	M. MATSUDA et al.	
	AN*	US-6,893,345-B2	05-17-2005	S. MOTEGI et al.	
	AO*	US-6,954,238-B2	10-11-2005	C. H. LIU et al.	
	AP*	US-6,976,915-B2	12-20-2005	C. L. BAKER et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *CITE NO.: Those application(s) which are marked with an angle asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(e)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Ryan Hsu/	Date Considered	02/19/2009
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